



03-23-01

JC13 Rec'd PCT/PTO 22 MAR 2001

PCT (S)

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <u>DRN204</u>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371					
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		09/806085			
INTERNATIONAL APPLICATION NO. <u>PCT/DE00/02387</u>		INTERNATIONAL FILING DATE <u>22 JULY 2000</u>		PRIORITY DATE CLAIMED <u>31 JULY 1999</u>	
TITLE OF INVENTION <u>CONNECTION ELEMENT FOR ATTACHMENT OF REMOVABLE DENTURES AT TOOTH CROWNS OR TOOTH IMPLANTS</u>					
APPLICANT(S) FOR DO/EO/US <u>STEFAN WINTERMANTEL</u>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li><li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li><li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>20. <input checked="" type="checkbox"/> Other items or information: <u>(X) COVER PAGE OF ACT APPL. AS PUBLISHED (No. WO 01/08589)</u> <u>(X) REQUEST TO ACCEPT PATENT APPL. FOR ENTERING US NATIONAL PHASE</u> <u>(X) INT. SEARCH REPORT WITH COPIES OF REFERENCES</u> <u>CITED THEREIN</u></li></ol>					

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21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	18 - 20 =		x \$18.00	\$
Independent claims	1 - 3 =		x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 860.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 430.00
<b>SUBTOTAL =</b>				\$ 430.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$
<b>TOTAL NATIONAL FEE =</b>				\$ 430.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00
<b>TOTAL FEES ENCLOSED =</b>				\$ 470.00
				Amount to be refunded: \$
				charged: \$

a. ☒ A check in the amount of \$ 470.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 11-0224. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Horst M. Kasper

13 Forest Drive

Warren, N.J. 07059

SIGNATURE

HORST M. KASPER

NAME

28,559

REGISTRATION NUMBER

09/806085

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stefan Wintermantel  
Serial No:  
Filing Date:

Art Unit:

Title: CONNECTION ELEMENT FOR ATTACHMENT OF REMOVABLE  
DENTURES AT TOOTH CROWNS OR TOOTH IMPLANTS

Priority application: Country: Germany  
Priority application number: 199 36 121.5  
Priority date: 31 July 1999

PCT Application no: PCT/DE00/02387  
PCT Application filing date: 22 July 2000

March 22, 2001

Attorney's Docket No.: DRN204

TRANSMITTAL LETTER

Hon. Commissioner of Patents and Trademarks  
BOX PCT  
Washington, D.C. 20231

SIR:

Transmitted herewith for filing is:

- <X> Form PTO-1390
- <X> Copy of the PCT Application PCT/DE00/02387 as filed (12 pages and, 4 drawing sheets)
- <X> English Translation of PCT Application PCT/DE00/02387 as filed (41 pages and 4 drawing sheets)
- <X> Certificate of Accuracy of Translation
- <X> Preliminary Amendment dated March 22, 2000 (5 pages)
- <X> Inventor Declaration

		Basic fee:	\$860.00
TOTAL CLAIMS:	18 - 20 =	x \$18.00 =	\$
INDEPENDENT CLAIMS:	1 - 3 =	x \$40.00 =	\$
<X> Applicant claims small entity status. The fees indicated above are reduced by 1/2			
<X> Request to Accept Patent Application for Entering U.S. National Phase under 35 USC § 371			
<X> Form PTO-1449			
<X> Copy of the International Search Report in of the references cited therein			
<X> Assignment			
<X> Recordation Form Cover Sheet (PTO-169 (A+B))			
(X) Enclosed is a check to cover the fee in the amount of \$470.00.			

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(X) The applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for response to any Office Action outstanding in the above captioned matter as necessary to avoid abandonment of the application. Please charge my deposit account No.11-0224 in the amount required to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above account.

(X) The Commissioner is hereby authorized to charge any fees under 35 U.S.C. 1.16, and 1.17, after a mailing of a Notice of Allowance under 35 USC 1.18 or any additional fees which may be required during the entire pendency of the application, or credit any overpayment, to Acct. No.11-0224. A duplicate copy of this sheet is enclosed. If and only if account funds should be insufficient, immediately contact our associate, Lisa Zumwalt, at (703)415-0579, who will pay immediately to avoid deprivation of rights.

( ) Please charge my Deposit Account No.11-0224 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed. A signature or signatures required for the above recited document(s) is (are) provided herebelow. Such signature(s) also provide(s) ratification for any required signature appearing to be defective in the above recited document(s).

*Horst M. Kasper*  
Horst M. Kasper 13 Forest Drive, Warren, N.J.07059  
Reg. No. 28,559 Tel.(908)757-2839

**EXPRESS MAIL CERTIFICATION:** I hereby certify that the correspondence attached hereto is being deposited with the USPS "Express Mail Post Office to Addressee" on the date indicated below and is addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231,

Deposit Date: 22 MAR 2001 "Express Mail mailing No": TB 4172425/3 US  
Signature: Pondor Tan Kreglewski Date: 22 MAR 2001

\*%Pcil:d:trans1(DRN204T1(March 22, 2001(tm

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stefan Wintermantel  
Serial No:  
Filing Date:

Art Unit:

Title: CONNECTION ELEMENT FOR ATTACHMENT OF REMOVABLE  
DENTURES AT TOOTH CROWNS OR TOOTH IMPLANTS

Priority application: Country: Germany  
Priority application number: 199 36 121.5  
Priority date: 31 July 1999

PCT Application no: PCT/DE00/02387  
PCT Application filing date: 22 July 2000

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March 22, 2001

Attorney's Docket No.: DRN204

REQUEST TO ACCEPT PATENT APPLICATION FOR ENTERING UNITED  
STATES NATIONAL PHASE UNDER 35 USC §371

Hon. Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

SIR:

SIR:

A patent application related to the above identified application was filed on July 22, 2000 under the PCT Treaty under the docket number PCT/DE00/02387.

An English translation of the originally filed PCT application PCT/DE00/02387 is enclosed with this filing. A certificate of accurate translation into the English language is enclosed.

This submission is intended to result in complete fulfillment of any requirements necessary to enter the U.S.

national phase under the Patent Cooperation Treaty and under 35 U.S.C. §371. If the documents submitted should be incomplete in any manner, then the undersigned attorney respectfully requests to be informed immediately in order to prevent loss of rights.

It is respectfully requested that the present application be treated as an application filed under the Section 35 U.S.C. §371 for transferring a PCT application PCT/DE00/02387, naming the United States, into the national phase and that the present application be granted any and all benefits and in particular those benefits relating to a priority recognition of prior filings under jurisdiction of treaty members and available under the Patent Cooperation Treaty (Washington Treaty), the Paris Convention Treaty, and under the United States Code based on the filing under the Patent Cooperation Treaty of PCT application PCT/DE00/02387.

If there should be any problems in granting the benefits available under the Patent Cooperation Treaty and/or 35 USC §371 based on such items as incompleteness of application, excessive time delays or defective application papers, then it is alternatively respectfully requested that prior to the expiration through abandonment of a filing under 35 USC §371 and under charging the required fees from deposit account, the present application be treated as an

application and/or continuation application filed at a time required under any one of the sections 35 U.S.C. §111, 35 U.S.C. §363, and/or 35 U.S.C. §365(c) as applicable and that the present application be treated as a continuation or continuation-in-part application of the PCT application PCT/DE00/02387.

Recognition of PCT national phase status or, respectively, continuation or continuation-in-part status under the PCT Treaty and/or of the claimed priority date of 31 July 1999 is respectfully requested for the patent application documents in the above captioned matter.

Respectfully submitted,

Stefan Wintermantel

By:



Horst M. Kasper, his attorney,  
13 Forest Drive, Warren, N.J. 07059  
Tel.: (908) 757-2839 Fax: (908) 668-5262  
Reg.No. 28,559; Docket No.: DRN204

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CERTIFICATE OF ACCURACY OF TRANSLATION

The undersigned

WILHELM H. RÖHRS

at the offices of

Horst M. Kasper  
13 Forest Drive  
Warren, N.J. 07059

certifies that:

(1) He is fully conversant both with the English and German languages.

(2) He has translated the German language of the followings documents:

- International PCT Patent Application, Filing No.: PCT/DE00/02387, Filing Date: July 22, 2000;

into the English language.

(3) The translation is, to the best of his knowledge and belief, an accurate translation of the original document into the English language.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the matter with which this translation is used.

Date:

*February 28, 2001*

*Wilhelm H. Röhrs*  
WILHELM H. RÖHRS

\*%pt0:translat(ger(drn204TR(rk

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